

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

David A. Edwards, Giovanni Caponetti, Jeffrey S. Hrkach, Noah Lotan,  
Justin Hanes, Abdellaziz Ben-Jebria and Robert S. Langer

E10-08

Application No.: 10/090,418                      Group: 1617  
Filed: March 1, 2002                      Examiner: Bahar, M.  
Confirmation No.: 8180

For: AERODYNAMICALLY LIGHT PARTICLES FOR PULMONARY  
DRUG DELIVERY

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>9-26-02</u>	<u>Hollie Wakefield</u>
Date	Signature
<u>HOLLIE WAKEFIELD</u>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT  
FOR UTILITY APPLICATION

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on July 8, 2002.

An error/inconsistency in priority data regarding continuing Application No. 10/090,418, filed March 1, 2002, has been noted by an "\*" in the filing receipt for said application.



10/090,418

-2-

The errors and corrections appear below.

**Domestic Priority Data as Claimed by Applicant**

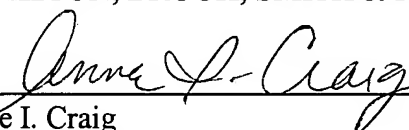
**This Application is a Con of 09/194,068, filed 04/08/1999 which is a 371 of PCT/US97/08895, filed 04/08/1999, which is a CIP of 08/655,570, filed 05/24/96, now abandoned, which is a CIP of 08/739,308, filed 10/29/96, now U.S. Patent 5,874,064.**

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Preliminary Amendment which is being filed concurrently herein.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By



Anne I. Craig

Registration No.: 32,976

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: 9/26/02

#6

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/090,418	03/01/2002	1619	954	2846.1001-028	4	18	4

021005

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
530 VIRGINIA ROAD  
P.O. BOX 9133  
CONCORD, MA 01742-9133

CONFIRMATION NO. 8180

## UPDATED FILING RECEIPT

\*OC000000008397682\*

Date Mailed: 07/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David A. Edwards, Boston, MA;  
Giovanni Caponetti, Piacenza, ITALY;  
Jeffrey S. Hrkach, Somerville, MA;  
Noah Lotan, Haifa, IL;  
Justin Hanes, Baltimore, MD;  
Abdellaziz Ben-Jebria, State College, PA;  
Robert S. Langer, Newton, MA;

## Assignment For Published Patent Application

The Penn State Research Foundation, University Park, PA;  
Massachusetts Institute of Technology, Cambridge, MA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/194,068 04/08/1999  
WHICH IS A 371 OF PCT/US97/08895 05/23/1997

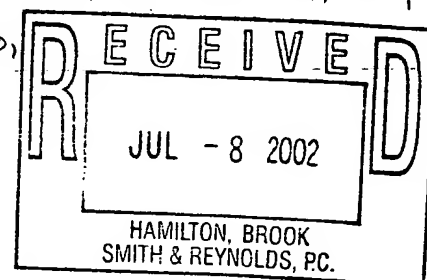
## Foreign Applications

If Required, Foreign Filing License Granted 04/13/2002

Projected Publication Date: 10/10/2002

Non-Publication Request: No

\* 04/8/1999, \* which is a CIP of 08/655,570,  
filed 5/24/96, now abandoned,  
which is a CIP of 08/739,308,  
filed 10-29-96,  
now U.S.  
patent  
5,874,064



Early Publication Request: No

**Title**

Aerodynamically light particles for pulmonary drug delivery

**Preliminary Class**

424

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

---